



Sub-award Agreement

Prime Awardee		Subawardee	
Institution/Organization ("Prime Recipient") Name: Seattle Colleges Address: 1500 Harvard Ave Seattle, WA 98122 EIN No. 91-0826872 DUNS#: 043022045		Institution/Organization ("Sub-recipient") Name: Seattle Public Schools Address: 2445 3 rd Ave S Seattle, WA 98134 EIN No.: 91-6001541 DUNS#: 078199965	
Prime Award No. 1902504		Sub-award No. C700645	
Federal Sponsor: National Science Foundation No. 000738223			
July 1, 2019 through June 30, 2022		Amount Funded this Action \$120,128	Est. Total (if incrementally funded)
Project Title Building a 1+3-Year High School to College Pathway to Prepare Students for High-Demand Jobs in Information Technology			
Reporting Requirements [Check here if applicable: <input checked="" type="checkbox"/> See Attachment 4 <input checked="" type="checkbox"/> FFATA Funding (See Attachment 4A)]			
Terms and Conditions			
<p>1) Prime Recipient hereby awards a cost reimbursable sub-award, as described above, to Sub-recipient. The statement of work and budget for this Sub-award are as shown in Attachment 5. In its performance of the Sub-award work, Sub-recipient shall be an independent entity and not an employee or agent of Prime Recipient.</p> <p>2) Prime Recipient shall reimburse Sub-recipient not more often than monthly for allowable costs. All invoices shall be submitted using Sub-recipient's standard invoice, but at a minimum shall include current and cumulative costs (including cost sharing), sub-award number, and certification as to truth and accuracy of invoice. Invoices that do not reference Prime Recipient's sub-award number shall be returned to Sub-recipient. Invoices and questions concerning invoice receipt or payments should be directed to the appropriate party's contact as shown in Attachment 3. Prime recipient reserves the right to request and the Sub-recipient agrees to provide supporting documentation of Sub-recipients costs in the event the Prime Recipient is required to provide such documentation to its sponsors or there are performance issues which cause the Prime Recipient to question the reasonableness, allowability or allocability of costs billed.</p> <p>3) A final statement of cumulative costs incurred, including cost sharing, marked "FINAL" must be submitted to Prime Recipient's Contact, as shown in Attachment 3, NOT LATER THAN sixty (60) days after Sub-award end date. The final statement of costs shall constitute Sub-recipient's final financial report.</p> <p>4) All payments shall be considered provisional and subject to adjustment within the total estimated cost. In the event such adjustment is necessary as a result of an adverse audit finding against the Sub-recipient, Prime Recipient shall contact Sub-recipients appropriate contact as shown in Attachment 3.</p> <p>5) Sub-recipient must submit Time and Effort reports for both hourly and salary employees on at least a bi-annual basis.</p> <p>6) Matters concerning the technical performance of this Sub-award should be directed to the appropriate party's Principal Investigator, as shown in Attachment 3. Technical reports are required as shown above, "Reporting Requirements".</p> <p>7) Matters concerning the request or negotiation of any changes in the terms, conditions, or amounts cited in this Sub-award agreement, and any changes requiring prior approval, should be directed to the appropriate party's Administrative Contact as shown in Attachment 3. Any such changes made to this Sub-award agreement require the written approval of each party's Authorized Official, as shown in Attachment 3.</p> <p>8) Each party shall be responsible for its negligent acts or omissions and the negligent acts or omissions of its employees, officers, or director's, to the extent allowed by law.</p> <p>9) Either party may terminate this Sub-award with thirty days written notice to the appropriate party's Administrative Contact as shown in Attachment 3. Prime Recipient shall pay Sub-recipient for termination costs as allowable under Uniform Guidance, 2 CFR 200, or 45 CFR Part 75 Appendix E "Principles for Determining Costs Applicable to Research and Development under Grants and Contracts with Hospitals", as applicable.</p> <p>10) No-cost extensions require the approval of the Prime Recipient. Any requests for a no-cost extension should be addressed to and received by the Administrative Contact, as shown in Attachment 3, not less than thirty (30) days prior to the desired effective date of the requested change.</p> <p>11) The Sub-award is subject to the terms and conditions of the Prime Award and other special terms and conditions, as identified in Attachment 2.</p> <p>12) By signing below Sub-recipient makes the certifications and assurances shown in Attachments 1 and 2. Sub-recipient also assures that it will comply with applicable statutory and regulatory requirements specified in the Research Terms & Conditions Appendix C found at http://www.nsf.gov/bfa/dias/policy/rtc/appc.pdf.</p>			

By an Authorized Official of Prime Recipient:

DocuSigned by:

Lisa Gacer

10/3/2019

9F7A8E73FF80475

Date

By an Authorized Official of Sub-recipient:

DocuSigned by:

Jane Hendrickson

10/3/2019

8EAE31333D6B45B

Date

Attachment 1
Seattle Colleges Sub-award Agreement
Certifications and Assurances

By signing the Sub-award Agreement, the authorized official of Sub-recipient certifies, to the best of his/her knowledge and belief that:

Certification Regarding Lobbying

1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Sub-recipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Sub-recipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying", to the Prime Recipient.

3) The Sub-recipient shall require that the language of this certification be included in the award documents for all Sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all Sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Debarment, Suspension, and Other Responsibility Matters

Sub-recipient certifies by signing this Sub-award Agreement that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency.

Discrimination Matters

1) Sub-recipient certifies by signing this Sub-award Agreement that it complies with the requirements of 41 CFR 60-300.5(a). This regulation prohibits discrimination against qualified protected veterans, and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified protected veterans.

2) Sub-recipient certifies by signing this Sub-award Agreement that it complies with the requirements of 41 CFR 60-741.5(a). This regulation prohibits discrimination against qualified individuals on the basis of disability, and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified individuals with disabilities.

3) Subrecipient certifies that it complies with 41 U.S.C. §4712, a pilot program for enhancement of contractor protection from reprisal for disclosure of certain information.

Equal Employment Opportunity. Except as otherwise provided under 41 CFR Part 60, all contracts that meet the definition of "federally assisted construction contract" in 41 CFR Part 60-1.3 must include the equal opportunity clause provided under 41 CFR 60-1.4(b), in accordance with Executive Order 11246, "Equal Employment Opportunity" (30 FR 12319, 12935, 3 CFR Part, 1964-1965 Comp., p. 339), as amended by Executive Order 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and implementing regulations at 41 CFR part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."

OMB Circular A-133 Assurance

Sub-recipient assures Prime Recipient that it complies with A-133 and that it will notify Prime Recipient of completion of required audits and of any adverse findings which impact this Sub-award.

Breach of Contract and Contract Termination

- 1) Contracts for more than the simplified acquisition threshold currently set at \$150,000, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by 41 U.S.C. 1908, must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.
- 2) All contracts in excess of \$10,000 must address termination for cause and for convenience by the non-Federal entity including the manner by which it will be effected and the basis for settlement.

Davis-Bacon Act

Davis-Bacon Act, as amended (40 U.S.C. 3141-3148). When required by Federal program legislation, all prime construction contracts in excess of \$2,000 awarded by non-Federal entities must include a provision for compliance with the Davis-Bacon Act (40 U.S.C. 3141-3144, and 3146-3148) as supplemented by Department of Labor regulations (29 CFR Part 5, "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction"). In accordance with the statute, contractors must be required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must be required to pay wages not less than once a week. The non-Federal entity must place a copy of the current prevailing wage determination issued by the Department of Labor in each solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency. The contracts must also include a provision for compliance with the Copeland "Anti-Kickback" Act (40 U.S.C. 3145), as supplemented by Department of Labor regulations (29 CFR Part 3, "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency.

Contract Work Hours and Safety Standards

- 1) Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708). Where applicable, all contracts awarded by the non-Federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with 40 U.S.C. 3702 and 3704, as supplemented by Department of Labor regulations (29 CFR Part 5). Under 40 U.S.C. 3702 of the Act, each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

Rights to Intervention

Rights to Inventions Made Under a Contract or Agreement. If the Federal award meets the definition of "funding agreement" under 37 CFR §401.2 (a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that "funding agreement," the recipient or subrecipient must comply with the requirements of 37 CFR Part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.

Clean Air Act

Clean Air Act (42 U.S.C. 7401-7671q.) and the Federal Water Pollution Control Act (33 U.S.C. 1251-1387), as amended—Contracts and subgrants of amounts in excess of \$150,000 must contain a provision that requires the non-Federal award to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).

Lobbying

Byrd Anti-Lobbying Amendment (31 U.S.C. 1352)—Contractors that apply or bid for an award exceeding \$100,000 must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-Federal award.

Attachment 2
Seattle Public Schools Sub-award Agreement
Prime Award Terms and Conditions

AWARD NOTICE

Award Date: June 26, 2019
Award No. (FAIN): 1902504
Proposal No.: 1902504
Managing Division Abbreviation: DUE

Jennifer Strother
Executive Director, Management Services
Seattle Community College
District Office
1500 Harvard Avenue
Seattle, WA 98122-3803
DUNS ID: 043022045

Dear Ms. Strother:

The National Science Foundation hereby awards a grant of \$597,972 to Seattle Community College District Office for support of the project described in the proposal referenced above as modified by revised budget dated June 25, 2019. This award is expected to total \$597,972.

This project, entitled "Building a 1+3-Year High School to College Pathway to Prepare Students for High-demand Jobs in Information Technology," is under the direction of Chris Sullivan, Robert A. Bunge, Jane E. Hendrickson.

This award starts July 1, 2019 and ends June 30, 2022.

This grant is awarded pursuant to the authority of the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-75) and is subject to Research Terms and Conditions (RTCs) dated March 14, 2017, and NSF Agency Specific Requirements, dated February 25, 2019, available at:
<https://nam04.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.nsf.gov%2Fawards%2Fmanaging%2Frtc.jsp&data=02%7C01%7C%7C864dcb8eb6d14901b5b108d6fe4b70ad%7C02d8ff38d7114e31a9156cb5cff788df%7C0%7C0%7C636975997314879920&data=VDahCYwpvkmdivTcMA2B4S0vgbhhJLcytKc665vCsH4%3D&reserved=0>. and the following terms and conditions:

This award is subject to the Federal Funding Accountability and Transparency Act (FFATA) award term entitled, Reporting Subawards and Executive Compensation, which has been incorporated into the NSF Terms and Conditions referenced above.

If the awardee has any questions related to the pre-populated data associated with this award in the FFATA Subaward Reporting System, such questions should be submitted to: FFATAREporting@nsf.gov or by phone to: (800) 673-6188.

This award is subject to the provisions of NSF 18-571, Advanced Technological Education (ATE).

A determination notice was submitted to NSF by the grantee which specified that that the human subject protocol for this award meets the requirements of 45 CFR 690.118. The study will involve research with human subjects for which the protocol is not yet fully developed.

One year from the date specified on the determination notice, the Authorized Organizational Representative is required to either:

1. Verify that the project continues to lack immediate plans for the involvement of human subjects, their data, or their specimens; or
2. Provide documentation to the cognizant NSF Program Officer to demonstrate that IRB approval has been obtained.

No work with human subjects, including recruitment, may be conducted under this award until the protocol has either been declared exempt or the protocol has been reviewed and approved by the organization's Institutional Review Board, and certification has been submitted to the cognizant NSF Program Officer.

Funds provided for participant support may not be diverted by the awardee to other categories of expense without the prior written approval of the cognizant NSF Program Officer. Since participant support cost is not a normal account classification, the awardee organization must be able to separately identify participant support costs. It is highly recommended that separate accounts, sub-accounts, sub-task, or sub-ledgers be established to accumulate these costs. The awardee should have written policies and procedures to segregate participant support costs.

The Foundation authorizes the awardee to enter into the proposed subaward arrangement and to fund the subaward with award funds up to the amount indicated in the approved budget or NSF-approved post award request. The subaward should contain appropriate provisions consistent with Appendix B of the Research Terms and Conditions (RTC) dated October 1, 2017, or Articles 8.a.4. and 9 of the NSF Grant General Conditions (GC-1) dated February 25, 2019 (as appropriate), as well as any special conditions included in this award.

The rates in the award letter are applicable to the federally approved negotiated rate of 40% for salaries and wages.

The attached budget indicates the amounts, by categories, on which NSF has based its support.

The indirect cost rate(s) for this award is/are :

Item Name Indirect Cost Rate

Co-PI 40.0000%

Other Faculty 40.0000%

Program Coordinator 40.0000%

These rates are at the time of award and are based upon the budget submitted to the NSF. It does not

include any out-year adjustments. The NSF will not modify awards simply to correct indirect cost rates cited in the award notice. See the Proposal & Award Policies & Procedures Guide (PAPPG) Chapter X.A.3.a. for guidance on re-budgeting authority.

Please view the project reporting requirements for this award at the following web address
<https://nam04.safelinks.protection.outlook.com/?url=https%3A%2F%2Freporting.research.gov%2FfedAwardId%2F1902504&data=02%7C01%7C%7C864dcb8eb5d14901b5b108d6fe4b70ad%7C02d8ff38d7114e31a9156cb5cff788df%7C0%7C0%7C636975997314889914&data=TbAmVlh9zRGY6jKlfkYraOkI9UXngDaZ3CQoFq0B5U8%3D&reserved=0>.

The cognizant NSF program official for this grant is Stephanie August, (703) 292-5128
The cognizant NSF grants official contact is Jannele Gosey, (703) 292-4445.

Sincerely,

Jannele Gosey
Grants and Agreements Officer

CFDA No. 47.076, Education and Human Resources
jennifer.strother@seattlecolleges.edu

DUE-1902504 000
SUMMARY PROPOSAL BUDGET

Funds

Person MOS granted
cal acad sumr By NSF

A. (3.00) Total Senior personnel 0.00 1.52 0.00 \$10,150

B. Other Personnel

1. (0.00) Post Doctoral associates 0.00 0.00 0.00 \$0
2. (3.00) Other professionals 22.14 0.00 0.00 \$95,007
3. (0.00) Graduate students \$0
4. (0.00) Secretarial-clerical \$0
5. (0.00) Undergraduate students \$0
6. (6.00) Other \$20,300

Total salaries and wages (A+B) \$125,457

C. Fringe benefits (if charged as direct cost) \$41,454

Total salaries wages and fringes (A+B+C) \$166,911

D. Total permanent equipment \$0

E. Travel

1. Domestic \$8,250

2. International \$0

F. Total participant support costs \$1,500

G. Other direct costs

1. Materials and supplies \$0

2. Publication costs/page charges \$0

3. Consultant services \$45,000

4. Computer (ADPE) services \$0

5. Subawards \$326,128

6. Other \$0

Total other direct costs \$371,128

H. Total direct costs (A through G) \$547,789

I. Total indirect costs \$50,183

(For information on the rate used, please refer to the award notice)

J. Total direct and indirect costs (H+I) \$597,972

K. Fee \$0

L. Amount of this request (J) or (J+K) \$597,972

M. Cost sharing \$0

Attachment 3
Seattle Public Schools Sub-award Agreement

Prime Recipient Contacts	Sub-recipient Contacts
Administrative Contact Name: Arielle Matthews Address: 1500 Harvard Ave Seattle, WA 98122 Telephone: 206-934-4058 Email: Arielle.Matthews@seattlecolleges.edu	Administrative Contact Name: Michael Stone Address: Seattle Public Schools PO Box 34165 Seattle, WA 98124-1165 Telephone: 206-252-0222 Email: mastone@seattleschools.org
Project Director Name: Chris Sullivan Address: Seattle Central College 1701 Broadway Seattle, WA 98122 Telephone: (206) 934-5566 Email: chris.sullivan@seattlecolleges.edu	Project Director Name: Jane Hendrickson Address: Seattle Public Schools 2445 3 rd Ave S Seattle, WA 98134 Telephone: (206) 252-0000 Email: jehendrickso@seattleschools.org
Financial Contact Name: Lolita Khachaturova Address: Seattle Colleges District Office 1500 Harvard Ave. Seattle, WA 98122 Telephone: (206) 934-3209 Email: Lolita.Khachaturova@seattlecolleges.edu	Financial Contact Name: JoLynn Berge Address: Seattle Public Schools PO Box 34165 Seattle, WA 98124-1165 Telephone: 206-252-0087 Email: jdberge@seattleschools.org
Authorized Official Name: Lisa Gacer, Senior Buyer Address: Seattle Colleges 1500 Harvard Ave Seattle, WA 98122 Telephone: (206) 934-5534 Fax: (206) 934-5523 Email: lisa.gacer@seattlecolleges.edu	Authorized Official Name: Denise Juneau Address: Seattle Public Schools PO Box 34165 Seattle, WA 98124-1165 Telephone: 206-252-0180 Email: superintendent@seattleschools.org

ATTACHMENT 4
SEATTLE PUBLIC SCHOOLS SUBAWARD AGREEMENT

Invoicing Requirements

1. Invoices must be submitted in triplicate to Financial Contact as referenced in Attachment 3

Reporting Requirements

2. Progress and final reports will be required as requested by Seattle College's Project Director.

Match Requirement

3. Cost Share requirement is \$0.

(SUBRECIPIENT PLEASE COMPLETE ITEM #4 AS WELL AS THE APPROPRIATE CHECK BOXES WITHIN THE ASSURANCES SECTION BELOW)

Sub-recipient Monitoring (A-133)

(Only Applicable State Organizations, Local Governments, and/or Non-Profit Organizations)

4. Copy of annual audit and/or A-133 certification must be submitted prior to award and then annually through the term of the award, if applicable. Person responsible for disseminating audit information:

Name Amy Fleming Title Director of Accounting

Phone 206-252-0274 Email ajfleming@seattleschools.org

Assurances

BIOSAFETY OF RECOMBINANT DNA

- ☒ Project does not involve recombinant DNA.
- ☐ Project involves recombinant DNA and was either approved() or determined to be exempt() from the NIH. Approval by the Institutional Biosafety Committee (IBC) on _____ (Date).

CARE AND USE OF ANIMALS

- ☒ Project does not involve vertebrate animals.
- ☐ Project involves vertebrate animals and was approved by the Institutional Animal Care and Use Committee (IACUC) on _____ (Date). OLAW Animal Assurances # _____.

PROTECTION OF HUMAN SUBJECTS

- ☐ Project does not involve human subjects.
- ☒ Project involves human subjects and was approved by the Institutional Review Board (IRB) on ____ in process ____ (Date). Federal Wide Assurance # 00002172

CONFLICT OF INTEREST

- ☒ The Sub-Recipient certifies by signature to this Agreement that it has a federally compliant conflict of interest policy.

Attachment 4A

Seattle Colleges FFATA Reporting Requirements

Definition. "Reporting" includes FFATA Data Elements

Amendment for Updated Reporting Requirements. A unilateral amendment may be issued to update reporting requirements in response to any additional requirements or guidance from the OMB or Sponsor including, but not limited to, the definition of terms and data elements, and specific instructions for reporting and report formats.

For more information on FFATA, go to: <https://www.fsrs.gov/>

Data to be Reported Prior to Sub-Award Obligation/Action Date

A. Sub-Award Project Description

Sub-Recipient should provide the award title and description of the purpose. The description should capture the overall purpose of the sub-award. Example of project description: "Investment in public transportations: replace four 10 year old electric commuter train cars. In addition, funds will be used to construct a multi-modal Park and Ride facility featuring: commuter parking, transit hub, bicycle accommodations, and a potential future platform."

Project Description	<p>To create an "IT 1+3 Pathway," Seattle Public Schools will review and align high school dual credit Information Technology (IT) curriculum with the foundational IT curriculum offered at the Seattle Colleges.</p> <p>High school and college faculty will work together to outline the path that high school students will need to follow in order to earn one year of college credit in high school.</p> <p>Seattle Public Schools will launch the IT 1+3 Pathway at two pilot high schools that have at least 50% of students who qualify for the federal free and reduced meals program and a high percentage of minority students traditionally underrepresented in tech fields. Seattle Public Schools will launch three cohorts at the selected high schools during the grant period.</p>
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B. Most Highly Compensated Officers

Sub-Recipient shall provide the names and total compensation of the five most highly compensated officers of the Sub-Recipient entity if the following items (1) and (2) apply.

If either item (1) or (2) does not apply, the Sub-recipient's report shall include a statement certifying this.

If these items do apply, but there is no change in the most highly compensated individuals or their total compensation, the Sub-recipient's report shall include a statement certifying this.

(1) The Sub-Recipient in its preceding fiscal year received—

- (a) 80 percent or more of its annual gross revenues in Federal awards; and
- (b) \$25,000,000 or more in annual gross revenues from Federal awards; and

(2) The public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986 [26 USC § 6104].

"Total compensation" means the cash and non-cash dollar value earned by the executive during the Sub-recipient's past fiscal year of the following (for more information see 17 CFR 229.402(c) (2)):

- (i). Salary and bonus.
- (ii). Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with FAS 123R.
- (iii). Earnings for services under non-equity incentive plans. Does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
- (iv). Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- (v). Above-market earnings on deferred compensation which are not tax qualified.
- (vi). Other compensation. For example, severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property if the value for the executive exceeds \$10,000.

HIGHLY COMPENSATED OFFICERS

Exempt from reporting compensation (Yes or No)?	Yes
Reason for Exemption (Specify Reason 1A, 1B, or 2 above)	1A

If not exempt, fill in per Section 1512 of ARRA:

Officer 1 Name	
Officer 1 Compensation	
Officer 2 Name	
Officer 2 Compensation	
Officer 3 Name	
Officer 3 Compensation	
Officer 4 Name	
Officer 4 Compensation	
Officer 5 Name	
Officer 5 Compensation	

C. Performance Site

Subaward Agreement No. C700645

Sub-Recipient shall identify the physical location of the Primary Place of Performance of the Sub-Award

Place of Performance Street Address 1	Seattle Public Schools Career and Technical Education
Place of Performance Street Address 2	2445 3 rd Ave. S.
Place of Performance City	Seattle
Place of Performance State (two character code)	WA
Place of Performance Zip (zip code+ four)	98124
Place of Performance Congressional District (two digit code)	WA-07
Place of Performance Country Code (two character code)	

Place of Performance Street Address 1	
Place of Performance Street Address 2	
Place of Performance City	
Place of Performance State (two character code)	
Place of Performance Zip (zip code+ four)	
Place of Performance Congressional District (two digit code)	
Place of Performance Country Code (two character code)	

Place of Performance Street Address 1	
Place of Performance Street Address 2	
Place of Performance City	
Place of Performance State (two character code)	
Place of Performance Zip (zip code+ four)	
Place of Performance Congressional District (two digit code)	
Place of Performance Country Code (two character code)	

D. Sub-Award Agreement data elements

Subaward Agreement No. C700645

Sub Recipient DUNS *	078199965
Sub Recipient Congressional District	WA-007
Sub Recipient Legal Name, Address, City, State, Zip	Seattle School District 1 of King County Washington PO Box 34165 Seattle, WA 98124-1165
Sub Recipient EIN	91-6001541
Sub Recipient Sam.gov registration, Yes or No *	Yes

*If Sub-Award is greater than \$25,000, Seattle Colleges requires the Sub-Awardee to obtain a DUNS # and register in the Sam.gov system, if they have not already done so. (For instructions, see FAR 52.204-7).

Attachment 5
Seattle Public Schools Sub-Award Agreement

High school and college Information Technology (IT) faculty in collaboration with Career and Technical Education (CTE) staff from the Seattle Public Schools will co-lead this project to align and articulate their respective IT curricula. The two parties will jointly agree on course-level learning outcomes and common standards for assessment to ensure high school courses meet college-level requirements for rigor. In order for the high school to offer credit, the course needs to follow the framework provided by the Washington State Office of the Superintendent of Public Instruction (OSPI) and have CTE-certified teachers.

High school and college faculty will work together to outline the path that high school students will need to follow in order to earn one year of college credit in high school. In addition to the IT classes described, this pathway will include general education classes that satisfy high school graduation requirements and associate and bachelor degree requirements. .

Seattle Public Schools will select two pilot high schools that have at least 50% of students who qualify for the federal free and reduced meals program and a high percentage of minority students traditionally underrepresented in tech fields. Seattle Public Schools will launch three cohorts at the two high schools during the grant period. The first school will launch cohorts in fall 2020 and 2021 and the second in fall 2021. Each cohort will begin in the sophomore year. By the end of the grant period, the first cohort will have completed their junior year, and the second cohort their sophomore year. In addition, Seattle Public Schools will provide each participating student with a laptop computer that they may use while participating in the Academy and until they graduate from high school.

Seattle Public Schools will conduct the following activities in accordance with the timeline established on the following page:

- Design the IT + 3 pathway in collaboration with Seattle Colleges.
- Develop dual credit courses, in cooperation with Seattle Colleges, which align to college courses and outcomes (Consult with the National Academy Foundation (NAF), as needed).
- Assist in continuous project evaluation, and provide data needed.
- Select two pilot high schools; manage the process of launching the IT Academies on two campuses, in collaboration with local principals and teachers.
- Ensure that computer labs at the target high schools are properly outfitted and arrange for students to receive laptops while enrolled in the IT Academy.
- Conduct outreach to students at pilot high schools and feeder middle schools.
- Assist Computing for All in developing and conducting an 8-week after school onboarding class for the IT pathway in 2020, 2021, and 2022.

Seattle Public School staff committed to the success of this project include Jane Hendrickson, CTE Program Manager (serving as Co-PI on this project); John Parker, Computer Science Specialist in the CTE Department; and Maria Herrera Lofton, CTE Specialist/Academy Coordinator.

Seattle Colleges is responsible for the following:

- Align pathway development of IT programs across the Seattle Colleges.
- College faculty will work together with Seattle Public Schools to outline the path that high school students will need to follow in order to earn one year of college credit in high school.
- Oversee the curriculum alignment process among the Seattle Colleges and between the Seattle Colleges and Seattle Public Schools.
- Coordinate with faculty, teachers and administrators to build the IT 1+3 pathway, manage the course articulation process, and oversee outreach and recruitment activities.
- Direct the day-to-day operations of the grant, serve as the primary liaison with partners, and supervise four staff who will be involved in the project.

- Support high school students during their time in the IT Academy as they explore college and career options.
- Provide enrollment, financial aid, and academic support as students transition from high school to the Seattle Colleges.
- Develop continuous improvement plans each year, and conclusions of formative data analysis based on information from The Allison Group evaluation.

Seattle Public Schools will provide the following deliverables by the dates indicated below:

Timeline for Deliverables		
Activities	Year	Due date
Design the IT + 3 pathway in collaboration with Seattle Colleges	2019-20	March 31, 2020
Develop dual credit courses, in cooperation with Seattle Colleges, which align to college courses and outcomes (Consult with NAF, as needed).	2019-20	March 31, 2020
Select two pilot high schools; manage the process of launching the IT Academies at the selected high schools, in collaboration with local principals and teachers.	2020	June 30, 2020
Ensure that computer labs at the target high schools are properly outfitted and arrange for enrolled IT Academy students to receive laptops, paid for by the grant.	2020	June 30, 2020
Conduct outreach to students at pilot high schools and feeder middle schools.	2020-2022	June 30, 2022
Assist Computing for All in developing and conducting 8-week after school onboarding class for IT pathway in 2020, 2021, and 2022	2020	June 30, 2020
Launch 1 st Cohort of IT Academies at Pilot High School 1	2020	August 30, 2020
1 st Cohort at Pilot High School 1 moves to second year (junior year)	2020	August 30, 2021
1 st Cohort at Pilot High School 1 moves to third year (senior year)	2021	August 30, 2022
Launch 2 nd Cohort at Pilot High School 1 and 3 rd Cohort at Pilot High School 2	2021	August 30, 2021
Expand program to other school districts	2021	June 30, 2021
Final Project Evaluation	2022	Sept 30 2022

All written reports required under this contract must be delivered to Chris Sullivan, Executive Dean, Workforce Education, in accordance with the schedule above.

BUDGET

The parties have estimated that the cost of accomplishing the work herein will not exceed \$120,128.

	Year 1	Year 2	Year 3	Total
Personnel				
Teachers	\$ 9,500	\$5,871	\$6,047	\$21,418
Fringe Benefits				
Benefits	\$2,375	\$1,468	\$1,512	\$5,355
Travel				
NAF Conference	\$8,000	\$8,000	\$0	\$16,000
Materials and Supplies				
Laptops (including case and Maintenance)	\$0	\$10,920	\$21,840	\$32,760
NAF Membership	\$0	\$2,000	\$4,000	\$6,000
NAF Consultant	\$12,000	\$12,000	\$0	\$24,000
Direct Costs	\$31,875	\$40,259	\$33,399	\$105,533
Indirect	\$4,408	\$5,568	\$4,619	\$14,595
Yearly Total	\$36,283	\$45,827	\$38,018	
Total Sub-Award				\$120,128

The Contractor shall be compensated at a per hour rate for work actually performed, provided that the total compensation shall not exceed the amount specified in the preceding paragraph.

The invoices shall describe and document, to Seattle College's satisfaction, a description of the work performed, progress of the project, and fees. The invoice shall include the contract reference number: **C700645**. If expenses are invoiced, Contractor shall provide a detailed listing of costs accompanied by receipts for all costs.

The parties agree that Seattle Colleges shall **NOT** be responsible for any additional costs or expenses incurred by Seattle Public Schools in the performance of work described in this Agreement, which include but are not limited to travel, lodging, meals, and other miscellaneous expenses otherwise incurred by Seattle Public Schools except for the itemized travel cost between the Seattle Public Schools and the Seattle Colleges campuses in Seattle. Compensation for a deliverable shall be up to, but shall not exceed, the budgeted cost for a deliverable regardless of hours worked or other expenses related to a deliverable. Any variance shall be justified to Seattle Public School's Contract Manager who shall have discretion to approve/disapprove compensation for such variance.

In the event additional funds become available, any Agreement awarded may be renegotiated to provide for additional services (e.g., model development, implementation, etc.) subject to satisfactory completion of a previous phase.