



# SEATTLE COLLEGES

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## Sub-award Agreement

Prime Awardee	Subawardee
Institution/Organization ("Prime Recipient") Name: Seattle Colleges Address: 1500 Harvard Ave Seattle, WA 98122	Institution/Organization ("Sub-recipient") Name: Pierce College Address: 9401 Farwest Dr. SW Lakewood, WA 98498 EIN No.: 91-0821742      DUNS#: 836087502
Prime Award No. 1817625	Sub-award No. C701004

Federal Sponsor: National Science Foundation

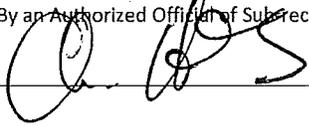
8/1/2018-1/31/2020	Amount Funded this Action \$6,864	Est. Total (if incrementally funded)

Project Title Pre-Alliance Planning: Puget Sound Alliance

Reporting Requirements [Check here if applicable:  See Attachment 4       FFATA Funding (See Attachment 4A)]

### Terms and Conditions

- 1) Prime Recipient hereby awards a cost reimbursable sub-award, as described above, to Sub-recipient. The statement of work and budget for this Sub-award are as shown in Attachment 5. In its performance of the Sub-award work, Sub-recipient shall be an independent entity and not an employee or agent of Prime Recipient.
- 2) Prime Recipient shall reimburse Sub-recipient not more often than monthly for allowable costs. All invoices shall be submitted using Sub-recipient's standard invoice, but at a minimum shall include current and cumulative costs (including cost sharing), sub-award number, and certification as to truth and accuracy of invoice. Invoices that do not reference Prime Recipient's sub-award number shall be returned to Sub-recipient. Invoices and questions concerning invoice receipt or payments should be directed to the appropriate party's contact as shown in Attachment 3. Prime recipient reserves the right to request and the Sub-recipient agrees to provide supporting documentation of Sub-recipients costs in the event the Prime Recipient is required to provide such documentation to its sponsors or there are performance issues which cause the Prime Recipient to question the reasonableness, allowability or allocability of costs billed.
- 3) A final statement of cumulative costs incurred, including cost sharing, marked "FINAL" must be submitted to Prime Recipient's Contact, as shown in Attachment 3, NOT LATER THAN sixty (60) days after Sub-award end date. The final statement of costs shall constitute Sub-recipient's final financial report.
- 4) All payments shall be considered provisional and subject to adjustment within the total estimated cost. In the event such adjustment is necessary as a result of an adverse audit finding against the Sub-recipient, Prime Recipient shall contact Sub-recipients appropriate contact as shown in Attachment 3.
- 5) Sub-recipient must submit Time and Effort reports for both hourly and salary employees on at least a bi-annual basis.
- 6) Matters concerning the technical performance of this Sub-award should be directed to the appropriate party's Principal Investigator, as shown in Attachment 3. Technical reports are required as shown above, "Reporting Requirements".
- 7) Matters concerning the request or negotiation of any changes in the terms, conditions, or amounts cited in this Sub-award agreement, and any changes requiring prior approval, should be directed to the appropriate party's Administrative Contact as shown in Attachment 3. Any such changes made to this Sub-award agreement require the written approval of each party's Authorized Official, as shown in Attachment 3.
- 8) Each party shall be responsible for its negligent acts or omissions and the negligent acts or omissions of its employees, officers, or director's, to the extent allowed by law.
- 9) Either party may terminate this Sub-award with thirty days written notice to the appropriate party's Administrative Contact as shown in Attachment 3. Prime Recipient shall pay Sub-recipient for termination costs as allowable under Uniform Guidance, 2 CFR 200, or 45 CFR Part 75 Appendix IX "Principles for Determining Costs Applicable to Research and Development under Grants and Contracts with Hospitals", as applicable.
- 10) No-cost extensions require the approval of the Prime Recipient. Any requests for a no-cost extension should be addressed to and received by the Administrative Contact, as shown in Attachment 3, not less than thirty (30) days prior to the desired effective date of the requested change.
- 11) The Sub-award is subject to the terms and conditions of the Prime Award and other special terms and conditions, as identified in Attachment 2.
- 12) By signing below Sub-recipient makes the certifications and assurances shown in Attachments 1 and 2. Sub-recipient also assures that it will comply with applicable statutory and regulatory requirements specified in the Research Terms & Conditions Appendix C found at <http://www.nsf.gov/bfa/dias/policy/rtc/appc.pdf>.

<p>By an Authorized Official of Prime Recipient:</p> <p></p> <p><u>1/11/19</u> Date</p>	<p>By an Authorized Official of Sub Recipient:</p> <p></p> <p><u>1/11/19</u> Date</p>
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**Attachment 1**  
**Seattle Colleges Sub-award Agreement**  
**Certifications and Assurances**

By signing the Sub-award Agreement, the authorized official of Sub-recipient certifies, to the best of his/her knowledge and belief that:

**Certification Regarding Lobbying**

1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Sub-recipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Sub-recipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying", to the Prime Recipient.

3) The Sub-recipient shall require that the language of this certification be included in the award documents for all Sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all Sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**Debarment, Suspension, and Other Responsibility Matters**

Sub-recipient certifies by signing this Sub-award Agreement that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency.

**Discrimination Matters**

1) Sub-recipient certifies by signing this Sub-award Agreement that it complies with the requirements of 41 CFR 60-300.5(a). This regulation prohibits discrimination against qualified protected veterans, and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified protected veterans.

2) Sub-recipient certifies by signing this Sub-award Agreement that it complies with the requirements of 41 CFR 60-741.5(a). This regulation prohibits discrimination against qualified individuals on the basis of disability, and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified individuals with disabilities.

3) Subrecipient certifies that it complies with 41 U.S.C. §4712, a pilot program for enhancement of contractor protection from reprisal for disclosure of certain information.

**OMB Circular A-133 Assurance**

Sub-recipient assures Prime Recipient that it complies with A-133 and that it will notify Prime Recipient of completion of required audits and of any adverse findings which impact this Sub-award.

**Attachment 2**  
**Pierce College District Sub-award Agreement**  
**Prime Award Terms and Conditions**

Fastlane: Award Documents

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**National Science Foundation**  
**2415 Eisenhower Avenue, Alexandria, Virginia 22314, USA**

AWARD NOTICE

Award Date:	August 29, 2018
Award No. (FAIN):	1817625
Proposal No.:	1817625
Managing Division Abbreviation:	HRD

John Bray  
Executive Director, Management Services  
Seattle Community College  
District Office  
1500 Harvard Avenue  
Seattle, WA 98122-3803  
DUNS ID: 043022045

Dear Mr. Bray:

The National Science Foundation hereby awards a grant of \$123,072 to Seattle Community College District Office for support of the project described in the proposal referenced above as modified by revised budget dated July 6, 2018 and NSF. This award is expected to total \$123,072.

This project, entitled "Pre-Alliance Planning: Puget Sound Alliance," is under the direction of Kalyn S. Owens, Ann J. Murkowski, L. Mark Harrison, Thomas A. Broxson.

This award starts August 1, 2018 and ends January 31, 2020.

This grant is awarded pursuant to the authority of the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-75) and is subject to Research Terms and Conditions (RTCs) dated March 14, 2017, and NSF Agency Specific Requirements, dated May, 14, 2018, available at: <https://www.nsf.gov/awards/managing/rtc.jsp>. and the following terms and conditions:

This award is subject to the Federal Funding Accountability and Transparency Act (FFATA) award term entitled, Reporting Subawards and Executive Compensation, which has been incorporated into the NSF Terms and Conditions referenced above.

If the awardee has any questions related to the pre-populated data associated with this award in the FFATA Subaward Reporting System, such questions should be submitted to: [FFATAREporting@nsf.gov](mailto:FFATAREporting@nsf.gov) or by phone to: (800) 673-6188.

This award is subject to the provisions of NSF 17-579, Louis Stokes Alliances for Minority Participation (LSAMP).

Pre-College Activities: The LSAMP program does not support pre-college students or activities (i.e., K-12 engagement, high school embedded college coaches, dual enrollment programs, etc.). Bridge programs to help acclimate

<https://www.fastlane.nsf.gov/researchadmin/awardLetterPrintView.do?awardId=1817625...> 8/29/2018

incoming students to college is allowable under the LSAMP solicitation; however, bridge participants must have graduated with a high school diploma and be enrolled in one of the Alliance partner colleges.

**Performance-Based Stipends/Fellowship:** The NSF Louis Stokes Alliances for Minority Participation allows some stipend/fellowship support; however, it is not a student financial aid scholarship program. Only performance-based stipends/fellowships are allowed under this award.

**Fund Management:** Funds may not be reallocated to senior personnel categories without prior approval of the cognizant NSF Program Officer.

In accordance with the proposed advertising costs on this award, all funding shall be limited to those promoting or enhancing attendance at the Louis Stokes Alliance for Minority Participation conferences, meetings, workshops, activities and/or events.

Costs of entertainment, amusement, diversion and social activities, and any costs directly associated with such costs (such as meals, lodging, rentals, transportation and gratuities) are unallowable. When certain meals are an integral and necessary part of a conference or meeting (i.e., working meals where business is transacted), grant funds may be used for such meals. Grant funds may also be used to furnish a reasonable amount of coffee or soft drinks for conference or meeting participants and attendees during coffee breaks. No NSF funds may be spent on meals or coffee breaks for intramural meetings of an organization or any of its components, including, but not limited to, laboratories, departments and centers.

The Foundation authorizes the awardee to enter into the proposed contractual arrangements and to fund such arrangements with award funds up to the amount indicated in the approved budget or NSF-approved post award requests. For awards made or receiving funding amendments after January 25, 2016, such contractual arrangements should contain appropriate provisions consistent with Articles 8.a.4. and 9 of the NSF Grant General Conditions (GC-1) dated January 25, 2016, as well as any special conditions included in this award.

**Governing Board:** The awardee shall establish a Governing Board composed of Presidents and/or Provosts/Vice President of Academic Affairs of all partner institutions to oversee general project operations and to ensure that the alliances goals/objectives are achieved. The purpose of the Board is to provide global perspective, direction, and assistance in broadening the base of support for the implementation and sustainability of LSAMP activities. The project director should report directly to the Governing Board on alliance issues and concerns.

**Reporting Requirements:**

The proposal is revised based on the responses to the clarification questions from the PI dated July 2018.

The awardee will submit all certifications, ad hoc and regular progress reports with content, format and submission timelines designated by NSF and the cognizant NSF Program Officer. The awardee will submit all NSF reports and certifications via FastLane/Research.gov using the appropriate reporting category. Note: For any type of report not specifically mentioned in FastLane/Research.gov, the awardee will use the Interim Reporting function to submit reports.

NSF, an NSF contractor, or a grantee on behalf of NSF, may from time to time conduct program evaluations of LSAMP projects. These may occur at any time during the grant period and sometimes after the grant period has ended. Reasonable cooperation with these efforts is required by the awardee.

<https://www.fastlane.nsf.gov/researchadmin/awardLetterPrintView.do?awardId=1817625...> 8/29/2018

The awardee shall meet as necessary with personnel from the Division of Human Resource Development, or its designee, and other staff designated by NSF to review operations of the Alliance and to exchange views, ideas, and information concerning the Alliance.

In accordance with NSF's new strategic plan, entitled Building the Future: Investing in Discovery and Innovation, NSF Strategic Plan for Fiscal Years (FY) 2018-2022, NSF 18-045, alliance institutions shall comply as necessary with reasonable data requests in support of new approaches and methods for assessing and evaluating the performance of NSF's investments in science and engineering research and education. The URL for NSF's new strategic plan is <https://www.nsf.gov/pubs/2018/nsf18045/nsf18045.pdf>

Incentive payments or gifts to participants must be made in accordance with written institutional policies and procedures and supported by auditable documentation. The allowability of these costs will ultimately be based on the awardee institution's ability to adequately demonstrate that the incentives have been disbursed in accordance with its policies and procedures.

The rates in the award letter are applicable to the federally approved negotiated rate of 40% for salaries and wages.

The attached budget indicates the amounts, by categories, on which NSF has based its support.

The indirect cost rate(s) for this award is/are :

Item Name  
Indirect Cost Rate  
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Faculty Stipends	40.0000%
Personnel	40.0000%

These rates are at the time of award and are based upon the budget submitted to the NSF. It does not include any out-year adjustments. The NSF will not modify awards simply to correct indirect cost rates cited in the award notice. See the Proposal & Award Policies & Procedures Guide (PAPPG) Chapter X.A.3.a. for guidance on re-budgeting authority.

Please view the project reporting requirements for this award at the following web address [<https://reporting.research.gov/fedAwardId/1817625>].

The cognizant NSF program official for this grant is Leroy Jones, (703) 292-4684

The cognizant NSF grants official contact is Jannele Gosey, (703) 292-4445.

Sincerely,

Jannele Gosey  
Grants and Agreements Officer

CFDA No. 47.076, Education and Human Resources  
[john.bray@seattlecolleges.edu](mailto:john.bray@seattlecolleges.edu)

HRD-1817625

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**SUMMARY PROPOSAL BUDGET**  
Award No. 1817625

Person MOS	cal	acad	sumr	Funds granted By NSF
A. (4.00) Total Senior personnel	0.00	2.40	1.60	\$25,200
B. Other Personnel				
1. (0.00) Post Doctoral associates	0.00	0.00	0.00	\$0
2. (0.00) Other professionals	0.00	0.00	0.00	\$0
3. (0.00) Graduate students				\$0
4. (2.00) Secretarial-clerical				\$11,950
5. (0.00) Undergraduate students				\$0
6. (10.00) Other				\$1,050
Total salaries and wages (A+B)				\$38,200
C. Fringe benefits (if charged as direct cost)				\$10,272
Total salaries wages and fringes (A+B+C)				\$48,472
D. Total permanent equipment				\$0
E. Travel				
1. Domestic				\$8,232
2. International				\$0
F. Total participant support costs				\$0
G. Other direct costs				
1. Materials and supplies				\$300
2. Publication costs/page charges				\$0
3. Consultant services				\$35,000
4. Computer (ADPE) services				\$0
5. Subawards				\$15,599
6. Other				\$189
Total other direct costs				\$51,088
H. Total direct costs (A through G)				\$107,792
I. Total indirect costs				\$15,280
(For information on the rate used, please refer to the award notice)				
J. Total direct and indirect costs (H+I)				\$123,072
K. Small Business Fee				\$0
L. Amount of this request (J) or (J+K)				\$123,072
M. Cost sharing				\$0

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<b>Attachment 3</b>	
<b>Pierce College District Sub-award Agreement</b>	
Prime Recipient Contacts	Sub-recipient Contacts
<p><b>Administrative Contact</b></p> <p>Name: Ann Murkowski, Biology Faculty</p> <p>Address: North Seattle College 9600 College Way North Seattle, WA 98103</p> <p>Telephone: (206) 934-4511</p> <p>Email: <a href="mailto:Ann.Murkowski@seattlecolleges.edu">Ann.Murkowski@seattlecolleges.edu</a></p>	<p><b>Administrative Contact</b></p> <p>Name: Tom Broxson</p> <p>Address: Dean, Natural Sciences and Mathematics Pierce College District 9401 Farwest Dr. SW Lakewood, WA 98498</p> <p>Telephone: (253) 840-8338</p> <p>Email: <a href="mailto:tbroxson@pierce.ctc.edu">tbroxson@pierce.ctc.edu</a></p>
<p><b>Project Director</b></p> <p>Name: Kalyn Owens, Primary Investigator</p> <p>Address: North Seattle College 9600 College Way North Seattle, WA 98103</p> <p>Telephone: (206) 934-6034</p> <p>Email: <a href="mailto:Kalyn.Owens@seattlecolleges.edu">Kalyn.Owens@seattlecolleges.edu</a></p>	<p><b>Project Director</b></p> <p>Name: Tom Broxson, Ed.D.</p> <p>Address: Pierce College District Dean, Natural Sciences and Mathematics 9401 Farwest Dr. SW Lakewood, WA 98498</p> <p>Telephone: (253) 840-8338</p> <p>Email: <a href="mailto:tbroxson@pierce.ctc.edu">tbroxson@pierce.ctc.edu</a></p>
<p><b>Financial Contact</b></p> <p>Name: Anastasia Brunets</p> <p>Address: North Seattle College 9600 College Way North Seattle, WA 98103</p> <p>Telephone: (206) 934-3618</p> <p>Email: <a href="mailto:Anastasia.Brunets@seattlecolleges.edu">Anastasia.Brunets@seattlecolleges.edu</a></p>	<p><b>Financial Contact</b></p> <p>Name: Kristina Schreiber-Glodowski, Budget Analyst 4</p> <p>Address: Pierce College District 9401 Farwest Dr. SW Lakewood, WA 98498</p> <p>Telephone: (253) 912-2202</p> <p>Email: <a href="mailto:kschreiber@pierce.ctc.edu">kschreiber@pierce.ctc.edu</a></p>
<p><b>Authorized Official</b></p> <p>Name: Lisa Gacer, Senior Buyer</p> <p>Address: Seattle Colleges 1500 Harvard Ave Seattle, WA 98122</p> <p>Telephone: (206) 934-5534</p> <p>Fax: (206) 934-5523</p> <p>Email: <a href="mailto:lisa.gacer@seattlecolleges.edu">lisa.gacer@seattlecolleges.edu</a></p>	<p><b>Authorized Official</b></p> <p>Name: Choi Halladay, VP of Administrative Services</p> <p>Address: Pierce College District 9401 Farwest Dr. SW Lakewood, WA 98498</p> <p>Telephone: (253) 964-6506</p> <p>Email: <a href="mailto:CHalladay@pierce.ctc.edu">CHalladay@pierce.ctc.edu</a></p>

**ATTACHMENT 4  
PIERCE COLLEGE DISTRICT SUBAWARD AGREEMENT**

**Invoicing Requirements**

1. Invoices must be submitted to Financial Contact as referenced in Attachment 3

**Reporting Requirements**

2. Progress and final reports will be required as requested by Seattle College's Project Director.

**Match Requirement**

3. Cost Share requirement is \$0.

**(SUBRECIPIENT PLEASE COMPLETE ITEM #4 AS WELL AS THE APPROPRIATE CHECK BOXES WITHIN THE ASSURANCES SECTION BELOW)**

**Sub-recipient Monitoring (A-133)**

*(Only Applicable State Organizations, Local Governments, and/or Non-Profit Organizations)*

4. Copy of annual audit and/or A-133 certification must be submitted prior to award and then annually through the term of the award, if applicable. Person responsible for disseminating audit information:

Name Sylvia James Title Director of Financial Services

Phone 253-964-6510 Email SJames@pierce.ctc.edu

**Assurances**

**BIOSAFETY OF RECOMBINANT DNA**

- Project does not involve recombinant DNA.  
 Project involves recombinant DNA and was either approved ( ) or determined to be exempt ( ) from the NIH. Approval by the Institutional Biosafety Committee (IBC) on \_\_\_\_\_ (Date).

**CARE AND USE OF ANIMALS**

- Project does not involve vertebrate animals.  
 Project involves vertebrate animals and was approved by the Institutional Animal Care and Use Committee (IACUC) on \_\_\_\_\_ (Date). OLAW Animal Assurances # \_\_\_\_\_.

**PROTECTION OF HUMAN SUBJECTS**

- Project does not involve human subjects.  
 Project involves human subjects and was approved by the Institutional Review Board (IRB) on \_\_\_ in process \_\_\_\_ (Date). Federal Wide Assurance # \_\_\_\_\_.

**CONFLICT OF INTEREST**

- The Sub-Recipient certifies by signature to this Agreement that it has a federally compliant conflict of interest policy.

## Attachment 4A Seattle Colleges FFATA Reporting Requirements

**Definition.** "Reporting" includes FFATA Data Elements

**Amendment for Updated Reporting Requirements.** A unilateral amendment may be issued to update reporting requirements in response to any additional requirements or guidance from the OMB or Sponsor including, but not limited to, the definition of terms and data elements, and specific instructions for reporting and report formats.

For more information on FFATA, go to: <https://www.fsrs.gov/>

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### Data to be Reported Prior to Sub-Award Obligation/Action Date

#### A. Sub-Award Project Description

Sub-Recipient should provide the award title and description of the purpose. The description should capture the overall purpose of the sub-award. Example of project description: "Investment in public transportations: replace four 10 year old electric commuter train cars. In addition, funds will be used to construct a multi-modal Park and Ride facility featuring: commuter parking, transit hub, bicycle accommodations, and a potential future platform."

Project Description	This subaward will enable Pierce College District to participate in the planning process for a Bridges to Baccalaureate (B2B) Alliance designed to support underrepresented minority STEM students persist in community college and transfer to four-year programs in STEM fields. Funds will pay for faculty participation in meetings and local and out of state travel to support this planning process.
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**B. Most Highly Compensated Officers**

Sub-Recipient shall provide the names and total compensation of the five most highly compensated officers of the Sub-Recipient entity if the following items (1) and (2) apply.

If either item (1) or (2) does not apply, the Sub-recipient's report shall include a statement certifying this.

If these items do apply, but there is no change in the most highly compensated individuals or their total compensation, the Sub-recipient's report shall include a statement certifying this.

(1) The Sub-Recipient in its preceding fiscal year received—

- (a) 80 percent or more of its annual gross revenues in Federal awards; and
- (b) \$25,000,000 or more in annual gross revenues from Federal awards; and

(2) The public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986 [26 USC § 6104].

"Total compensation" means the cash and non-cash dollar value earned by the executive during the Sub-recipient's past fiscal year of the following (for more information see 17 CFR 229.402(c) (2)):

- (i). Salary and bonus.
- (ii). Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with FAS 123R.
- (iii). Earnings for services under non-equity incentive plans. Does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
- (iv). Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- (v). Above-market earnings on deferred compensation which are not tax qualified.
- (vi). Other compensation. For example, severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property if the value for the executive exceeds \$10,000.

**HIGHLY COMPENSATED OFFICERS**

Exempt from reporting compensation (Yes or No)?	<b>Yes</b>
Reason for Exemption ( Specify Reason 1A, 1B, or 2 above)	<b>1A</b>

**If not exempt, fill in per Section 1512 of ARRA:**

Officer 1 Name	
Officer 1 Compensation	
Officer 2 Name	
Officer 2 Compensation	
Officer 3 Name	
Officer 3 Compensation	
Officer 4 Name	
Officer 4 Compensation	
Officer 5 Name	
Officer 5 Compensation	

**C. Performance Site**

Sub-Recipient shall identify the physical location of the Primary Place of Performance of the Sub-Award

Place of Performance Street Address 1	Pierce College District
Place of Performance Street Address 2	9401 Farwest Drive SW
Place of Performance City	Lakewood
Place of Performance State (two character code)	WA
Place of Performance Zip (zip code+ four)	98498
Place of Performance Congressional District (two digit code)	10
Place of Performance Country Code (two character code)	US

**D. Sub-Award Agreement data elements**

Sub Recipient DUNS *	836087502
Sub Recipient Congressional District	WA-10
Sub Recipient Legal Name, Address, City, State, Zip	Pierce College District 9401 Farwest Drive SW Lakewood, WA 98498
Sub Recipient EIN	91-0821742
Sub Recipient Sam.gov registration, Yes or No *	Yes

\*If Sub-Award is greater than \$25,000, Seattle Colleges requires the Sub-Awardee to obtain a DUNS # and register in the Sam.gov system, if they have not already done so. (For instructions, see FAR 52.204-7).

**Attachment 5  
Pierce College District Sub-Award Agreement**

Tom Broxson, Ed.D., Dean, Natural Sciences and Mathematics of Pierce College, will collaborate in the planning of a B2B Alliance implementation proposal focused on research experiences for undergraduate students. The overall intent of a B2B Alliance is to increase transfer rates of underrepresented minority STEM students to STEM programs at four-year institutions. Dr. Broxson will serve as a Co-PI on this project, and he will commit to participating directly or indirectly (through a designee from Pierce College District) in the following activities:

- Attend monthly planning meetings, in person or via conference calls. Schedule and publicize planning process activities to campus community, especially diversity officers, MESA directors, etc.
- Participate in workshops on undergraduate research. Meet with facilitator/evaluator on campus following each of these meetings.
- Participate in an out-of-state meeting for Primary Investigators involved in the LSAMP Program.
- Participate in a visit to an out of state Bridges to the Baccalaureate Program.
- Work with Pierce College District stakeholders and the Pre-Alliance Planning project team to develop a B2B Alliance Pierce College District implementation plan and a collaborative B2B Alliance implementation plan.
- Assist in the development and submission of a B2B Alliance implementation proposal.
- Provide institutional data to inform the Pre-Alliance planning project and the B2B Alliance implementation proposal.
- Engage other Pierce College District faculty and staff as needed in the planning process and documented in the application.

**The timeline for these activities is as follows:**

	Quarter
Monthly planning meetings	Monthly
Workshops and follow-up meetings with facilitator/evaluator.	Fall 2018, Winter 2019, Spring 2019
Out of state site visit*	Winter 2019
LSAMP PI and Directors Meeting (Washington D.C.)	Winter 2019
Provide institutional data	Fall 2018
Develop draft action plans and solicit campus feedback	Winter 2019
Present final plan to college leaders	Spring 2019
Assist with B2B Alliance Proposal	Summer/fall 2019, due 11/16/19

\*May be combined with LSAMP PI and Directors meeting

**North Seattle College is responsible for the following:**

- Coordinating planning process.
- Organizing meetings/workshops and sending out notices.
- Coordinating work with the evaluator.
- Serving as the primary contact with the National Science Foundation.
- Leading efforts to establish connections and to coordinate work with high schools and four-year institutions.
- Leading the process to develop an LSAMP B2B Alliance application to the National Science Foundation, due in November 2019.
- Submitting final report to the National Science Foundation

**Pierce College District will provide the following deliverables:**

- Institutional data needed for planning process and application to the National Science Foundation.
- Written B2B Alliance implementation plan for Pierce College District.
- Institutional information and forms needed to accompany the LSAMP B2B Alliance application to the National Science Foundation.

**BUDGET**

The parties have estimated that the cost of accomplishing the work herein will not exceed \$6,864.

The Contractor shall be compensated at a per hour rate for work actually performed, provided that the total compensation shall not exceed the amount specified in the preceding paragraph.

ITEM	DESCRIPTION*																																				
A.- B.	No funding is budgeted to compensate senior personnel or other personnel. Pierce College's lead representative and Co-Principal Investigator will be Dr. Tom Broxson. Dr. Broxson will share responsibility for the overall scientific and technical direction of the project, as well for submission of the requisite project reports. Dr. Broxson will have direct responsibility for project activities specific to Pierce College. The total person-months to be contributed by Dr. Broxson are listed in Facilities, Equipment, and Other Resources, in accordance with Section II.C.2.g.(c) of the PAPPG and advice received on 10/27/17 from NSF LSAMP program staff.																																				
C.	No NSF grant funds are requested to fund fringe benefits as a direct cost. Required fringe benefit costs associated with faculty stipends to Pierce College STEM faculty to participate in specific travel or meetings are incorporated into those budgeted amounts in Section G.6. These fringe benefit costs range from 17-20%, consistent with 2 CFR § 200.431 and institutional policy.																																				
E.1.	Domestic travel will be for Dr. Broxson to attend: (1) the Louis Stokes Midwest Center of Excellence's 2018 Annual Conference; (2) site visit to one NSF LSAMP B2B Alliance grantee: Valencia College; (3) three Undergraduate Research (UGR) workshops, and a mid-project proposal development workshop; and (4) approximately ten regional project coordination meetings. If Dr. Broxson is unavailable, Pierce College will send an appropriate surrogate in Dr. Broxson's place. Travel funds are also budgeted for one Pierce College employee (e.g., a STEM faculty member) to attend the initial project planning workshop, and mid-project proposal development workshop; and for 1-2 Pierce College employees to attend a regional training on the Tableau data analytics application. All travel is necessary to accomplish the proposal objectives stated in the Project Summary and Project Description. Costs comply with GPG Chapter II, Section C.2.g.(iv) Travel and all other applicable regulations.																																				
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G.6.	Other direct costs are to support Pierce College STEM faculty's participation in project coordination meetings. Grant funds are budgeted for faculty participation in one meeting per academic quarter, for a total of six hours each (participation and prep) @ \$35/hour. An additional 18% in required fringe benefit costs are included in the net total cost of \$1,982 in Year 1 (2018-2019) and \$496 in Year 2 (2019-2020). These payments are in accord																																				

	with Pierce College's organizational policies and procedures, as well as applicable state and Federal regulations. Payments are not "performance-based" as described in 2 CFR § 200.430(f).				
<b>I.</b>	A 49% indirect cost rate is charged per GPG Chapter II.C.2.g.(viii), Indirect Costs. Pierce College has a Negotiated Indirect Cost Rate Agreement with the U.S. Department of Health and Human Services. A copy of the Agreement is available upon request. The indirect cost base includes only cost items specified in the Agreement which meet NSF eligibility guidelines and comply with restrictions in 2 CFR § 200, Appendix III, paragraph C.7. Indirect costs charged are:				
	<b>Year 1 (2018 - 2019)</b>		<b>Year 2 (2019 - 2020)</b>		
	<b>Modified Total Direct Cost Base</b>	<b>Total Indirect Costs</b>	<b>Modified Total Direct Cost Base</b>	<b>Total Indirect Costs</b>	<b>Total</b>
	\$1,680	\$823	\$420	\$206	\$1,029